U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER **PF-0637 USN**

U.S. API 119 Ich 18 (15 novn & 2016) 1.5)

INTERNATIONAL APPLICATION NO. PCT/US99/30408

INTERNATIONAL FILING DATE 10 Deceember 1999

PRIORITY DATE CLAIMED 11 December 1998

TITLE OF INVENTION

NEURON-ASSOCIATED PROTEINS

APPLICANT(S) FOR DO/EO/US

INCYTE PHARMACEUTICALS, INC.; TANG, Y. Tom; YUE, Henry; BAUGHN, Mariah R.; HILLMAN, Jennifer L.; LAL, Preeti; AU-YOUNG, Janice; YANG, Junming; LU, Dyung Aina M.; AZIMZAI, Yalda

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. ⊠ This is the **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2.

 This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- 3.

 This is an express request to promptly begin national examination procedures (35 U.S.C. 371 (f)).
- 4. \Box The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
- 5. ⊠ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. \square is attached hereto (required only if not communicated by the International Bureau)
 - b. \square has been communicated by the International Bureau.
 - c. ⊠ is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. □ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- - a. \Box are attached hereto (required only if not communicated by the International Bureau).
 - b. \Box have been communicated by the International Bureau.
 - c. \square have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ⋈ have not been made and will not be made.
- 8. □ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9.

 ✓ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10.□ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

- 11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. □ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.27 and 3.31 is included.
- 13. \square A FIRST preliminary amendment.
 - ☐ A SECOND or SUBSEQUENT preliminary amendment.
- 14. □ A substitute specification.
- 15. \Box A change of power of attorney and/or address letter.
- 1) Transmittal Letter (2 pp, in duplicate)
- 2) Return Postcard
- 3) Express Mail Label No.: EL 856 153 993 US
- 4) Request to Transfer

| U.S. APPLICATION NO. TO BE ASSIGNED | Aif kowi see 37 FR 25) | INTERNATIONAL APPI PCT/US99/30408 | LICATION NO.: | ATTORNEY'S PF-0637 USN | DOCKET NUMBER | |
|--|------------------------|--------------------------------------|---------------|---------------------------|---------------------------|----|
| 17. ☑ The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1000.00 ☐International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$690.00 ☐International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00 | | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | | \$690.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than \Box 20 \Box 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | |
| Total Claims | 20 = | 0 | X \$ 18.00 | | \$ | |
| Independent Claims | 2 = | 0 | X \$ 80.00 | | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$270.00 | | | | | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | \$690.00 | |
| ☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | | \$ | |
| SUBTOTAL = | | | | | \$690.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than \Box 20 \Box 30 months from the earliest clailmed priority date (37 CFR 1492(f)). | | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | | \$690.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | | \$690.00 | |
| | | | | | Amount to be Refunded: | \$ |
| | | - | | | Charged: | \$ |
| a. □ A check in the amount of \$ to cover the above fees is enclosed. b. ☑ Please charge my Deposit Account No. <u>09-0108</u> in the amount of \$ 690.00 to cover the above fees. c. ☑ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>09-0108</u> . A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | |
| SEND ALL CORRESPONDENCE TO: INCYTE GENOMICS, INC. 3160 Porter Drive Palo Alto, CA 94304 | | | | | | |
| NAME: Diana Hamlet-Cox | | | | | | |
| REGISTRATION NUMBER: 33,302 | | | | | | |
| | | DATE: 7 | June 2001_ | | | |
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